

Effective April 1, 2019

FEE SCHEDULES

This Fee Schedule governs Arbitrations filed with FORUM under the Code of Procedure for any cases administered by FORUM under the rules of the National Arbitration FORUM [NAF], the International Arbitration FORUM or any other arbitration rules where FORUM acts as the arbitration administration service provider.

Payment of Fees may be made by cash, personal or business check, bank credit (credit or debit card or other line of credit), or other payment arrangements made in advance of filing. The failure of payment may result in delays of a case or other sanctions as provided in the Code of Procedure.

Questions? Need help with the Fee Schedules?

Contact FORUM or your Case Coordinator:

FORUM

P.O. Box 50191

Minneapolis, MN USA 55405-0191

800-474-2371

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Table A

FEES FOR SMALLER CLAIM CASES (LESS THAN \$75,000)						
	Filing Fee	Administrative Fee	Participatory Hearing Fee for Arbitrator	Document Hearing Fee for Arbitrator	Total w/Docs. Hearing	Total w/Part. Hearing
0-2,500	200	250	800*	400*	850	1,250
2,501-10,000	300	350	800*	400*	1,050	1,450
10,001-20,000	400	600	1,000**	400*	1,400	2,000
20,001-74,999	500	700	1,000**	400*	1,600	2,200
* Includes up to one-half (1/2) day of Arbitrator's hearing time						
** Includes up to one (1) day Arbitrator's hearing time						

Employment cases require a reasoned award and as such an additional \$800 Arbitrator fee is required for Employment cases. Please see Rule 6.2 of the Code of Procedure for Resolving Employment Disputes.

Table B

FEES FOR LARGER CLAIM CASES (\$75,000 OR GREATER)			
Claim Amount	Filing Fee	Administrative Fee	Total FORUM Fee
75,000 – 175,000	800	1,200	2,000
175,001 – 250,000	1,000	2,200	3,200
250,001 – 500,000	1,500	3,000	4,500
500,001 – 1,000,000	2,500	4,000	6,500
1,000,001 – 5,000,000	3,500	6,500	10,000
5,000,001 – 10,000,000	4,500	8,500	13,000
10,000,001 – 15,000,000	5,500	9,500	15,500
15,000,001 – 20,000,000	6,500	10,500	17,000
Above 20,000,000	8,000	11,000	19,000

Explanation of Fee Schedules:

SMALLER CLAIM FEES (Table A):

This Schedule governs all Arbitrations with a Claim Amount of up to \$75,000. The Smaller Claims fees schedule includes a capped time and cost schedule for hearings which may not be appropriate for more complex Smaller Cases. The Larger Claims fees schedule may be selected by agreement of the Parties and will be applied in the event that the hearing time required exceed the time allocated in the Smaller Claim fee schedule.

Filing Fee: The fee paid by the Claimant for filing a Claim.

Administrative Fee: The fee assessed by FORUM for its case work.

Document Hearing Fee: The fee for the Arbitrator's Services for a Document Review Hearing.

Participatory Hearing Fee: The fee for the Arbitrator's services in a Participatory Hearing.

Multi-Party Hearing: The above fees include two-party Hearings involving one Claimant and one Respondent. Additional fees determined by FORUM may be assessed for each additional Party.

Non-Monetary, Injunctive and Declaratory Relief: The Fees for any Claim involving injunctive or declaratory relief or any other non-monetary claims valued up to \$74,999 are the same as the fees for Claim Amounts valued at \$74,999.

Other Claimants and Respondents: A Claimant pays the Filing Fee at the time of filing. The Administrative fee and the Participatory or Document Review Arbitrator(s)' fees are paid in equal parts by the parties unless they have agreed otherwise and are due prior to the appointment of the Arbitrator. For Smaller Cases, where the Claims are \$75,000 or less, the Party requesting a Participatory Hearing is assessed the difference between the Document Review Arbitrator's fee and the Participatory Arbitrator's fee.

Emergency Hearing Fee: There is a Fee of \$500 (five hundred dollars) for an Emergency Document Hearing and \$1,000 (one thousand dollars) for an Emergency Participatory Hearing in addition to Arbitrator's compensation.

Request Fees: There are no fees for Requests that are timely filed within the time period established in the Scheduling Notice. Any Request, excluding a Request for Emergency Relief or for a Dispositive Order, made by any Party during the case within the time period established in the Scheduling Notice will be processed without the assessment of a Request Fee. A Party who files a Request after the time period established in the Scheduling Notice shall pay the late Request Fee of \$50 per Request.

Reasoned Awards, Findings and Conclusions Fees: The fees for written findings of fact, conclusions of law or reasons for the Award in a Smaller Claim case (including the Arbitrator's fees) are:

Claim Size	Fee
\$0-\$2,500	\$250
\$2,501-\$10,000	\$500
\$10,001-\$20,000	\$750
\$20,001-\$75,000	\$1000

Determination of Other Fees: FORUM establishes all fees. Parties may obtain the amount of a fee by contacting FORUM.

Cancelled or Rescheduled Hearings: Additional fees may be assessed for cancelled or rescheduled Hearings.

Abeyance Fee: A case may be placed into abeyance without charge for up to 365 calendar days, after which the Claimant shall pay to FORUM an administrative fee of \$200 for each additional period of up to 365 calendar days or FORUM may dismiss the case after providing notice to all parties.

LARGER CLAIM ARBITRATION FEES (Table B):

This Schedule governs all Arbitrations with a Claim Amount of more than \$75,000. Arbitrator compensation is in addition to the administration fees shown.

Filing Fee: The fee paid by the Claimant for filing a Claim.

Administrative Fee: The fee assessed by FORUM for its case work.

Multi-Party Hearing: The above fees include two-party Hearings involving one Claimant and one Respondent. Additional fees determined by FORUM may be assessed for each additional party.

Non-Monetary, Injunctive and Declaratory Relief: The Fees for any Claim involving injunctive or declaratory relief or any other non-monetary claims valued above \$74,999 are the same as the fees for Claim Amounts valued at \$175,001.

Hearing/Arbitrator Fees: The fee for all work done by an Arbitrator is billed at the hourly rate of the Arbitrator times the hours or portions of hours worked.

Emergency Hearing Fee: For Claim Amounts of \$75,001 to \$999,999, there is a fee of \$500 (five hundred dollars) for an Emergency Document Hearing and \$1,000 (one thousand dollars) for an Emergency Participatory Hearing in addition to applicable Arbitrator compensation fees. For Claim Amounts of \$1,000,001 and over, there is a fee of \$2,000 (two thousand dollars) for an Emergency Document Hearing and \$4,000 (four thousand dollars) for an Emergency Participatory Hearing in addition to applicable Arbitrator compensation fees.

Prepayment of Fees: FORUM may require a Party or Parties to pay deposits in advance for Larger Claim fees. This deposit shall be used for fees incurred by the Party in a case and any unused portion of the deposit shall be promptly refunded to the Party after the case is over. An arbitration may not proceed unless fees have been prepaid.

Reasoned Awards and Findings and Conclusions: Parties Requesting a Reasoned Award, including a Request for full findings of fact and conclusions of law for disputes for Larger Claims are charged an additional fee \$1500.00 in addition to the Arbitrator's hourly fee. Where an Arbitration Agreement requires written findings of fact, conclusions of law or reasons for the Award, the Parties shall equally split the fee for this work. Where one Party requests written findings of fact and conclusions of law or reasons for the Award, the requesting Party shall pay the fee.

Determination of Other Fees: FORUM establishes all other fees. Special services may incur additional fees. Parties may obtain the amount of a fee by contacting FORUM.

Cancelled or Rescheduled Hearings: Additional fees may be assessed for canceled or rescheduled Hearings.

Abeyance Fee: A case may be placed into abeyance without charge for up to 365 calendar days, after which the Claimant shall pay to FORUM an administrative fee of \$200 for each additional period of up to 365 calendar days or FORUM may dismiss the case after providing notice to all parties.

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